# EFT of scalar-tensor gravity with timelike scalar profile

- 1. Introduction
- 2. EFT on Minkowski bkgd
- 3. EFT on cosmological bkgd
- 4. BH with timelike scalar profile
- 5. EFT on arbitrary bkgd
- 6. Summary

Appx A. Generalized 2<sup>nd</sup> law Appx B. de Sitter entropy bound

#### Shinji Mukohyama (YITP, Kyoto U)

Ref. arXiv: 2204.00228 w/ V.Yingcharoenrat (arXiv: 2111.08119 w/ K.Aoki, M.A.Gorji & K.Takahashi)

Also Arkani-Hamed, Cheng, Luty and Mukohyama 2004 (hep-th/0312099) Mukohyama 2005 (hep-th/0502189)

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## INTRODUCTION

### Why gravity beyond GR? (GR : general relativity)

## A motivation for IR modification

- Gravity at long distances
   Flattening galaxy rotation curves
   extra gravity

   Dimming supernovae
   accelerating universe
- Usual explanation: new forms of matter (DARK MATTER) and energy (DARK ENERGY).

#### Dark component in the solar system?

**Precession of perihelion observed in 1800's...** 



which people tried to explain with a "dark planet", Vulcan,



But the right answer wasn't "dark planet", it was "change gravity" from Newton to GR.

## Why gravity beyond GR?

Can we address mysteries in the universe?
 Dark energy, dark matter, inflation, big-bang singularity, cosmic magnetic field, etc.

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- Help constructing a **theory of quantum gravity**? Superstring, Horava-Lifshitz, etc.

## Why gravity beyond GR?

- Can we address mysteries in the universe?
   Dark energy, dark matter, inflation, big-bang singularity, cosmic magnetic field, etc.
- Help constructing a **theory of quantum gravity**? Superstring, Horava-Lifshitz, etc.
- Do we really understand GR?

 $\bullet$ 

One of the best ways to understand something may be to break (modify) it and then to reconstruct it.

## EFT ON MINKOWSKI BACKGROUND

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## Many gravity theories

- 3 check points
  "What are the physical d.o.f. ?"
  "How do they interact ?"
  "What is the regime of validity ?"
- If two (or more) theories give the same answers to the 3 questions above then they are the same even if they look different.
   > Effective Field Theory (EFT) as universal description

## Scalar-tensor theories of gravity

- Metric  $g_{\mu\nu}$  + scalar field  $\phi$
- Jordan (1955), Brans & Dicke (1961), Bergmann (1968), Wagoner (1970), ...
- Most general scalar-tensor theory of gravity with 2<sup>nd</sup> order covariant EOM: Horndeski (1974)
- DHOST theories beyond Horndeski: Langlois & Noui (2016)
- U-DHOST theories beyond DHOST: DeFelice, Langlois, Mukohyama, Noui & Wang (2018)
- All of them (and more) are universally described by an effective field theory (EFT)

EFT of inflation / dark energy = EFT of scalar-tensor gravity with timelike scalar profile

- Time diffeo is broken by the scalar profile but spatial diffeo is preserved.
- All terms that respect spatial diffeo must be included in the EFT action.
- Derivative & perturbative expansions
- Diffeo can be restored by introducing Nambu-Goldstone boson

# EFT of scalar-tensor gravity with timelike scalar profile

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- Derivative & perturbative expansions
- Diffeo can be restored by introducing Nambu-Goldstone boson

### Simplest : ghost condensation

ref. Arkani-Hamed, Cheng, Luty, Mukohyama 2004

# Systematic construction of EFT of ghost condensation

Arkani-Hamed, Cheng, Luty and Mukohyama 2004

Backgrounds characterized by

 $\Rightarrow \left< \partial_{\mu} \phi \right> \neq 0 \text{ and timelike}$ 

♦Background metric is Minkowski.

$$\sum L_{eff} = L_{EH} + M^4 \left\{ \left( h_{00} - 2\dot{\pi} \right)^2 - \frac{\alpha_1}{M^2} \left( K + \vec{\nabla}^2 \pi \right)^2 - \frac{\alpha_2}{M^2} \left( K^{ij} + \vec{\nabla}^i \vec{\nabla}^j \pi \right) \left( K_{ij} + \vec{\nabla}_i \vec{\nabla}_j \pi \right) + \cdots \right\}$$

Gauge choice:  $\phi(t, \vec{x}) = t$ .  $\pi \equiv \delta \phi = 0$ (Unitary gauge) Residual symmetry:  $\vec{x} \rightarrow \vec{x}'(t, \vec{x})$ 

Write down most general action invariant under this residual symmetry.

(  $\implies$  Action for  $\pi$ : undo unitary gauge!)

Start with flat background

$$g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$$

$$\partial h_{\mu\nu} = \partial_{\mu}\xi_{\nu} + \partial_{\nu}\xi_{\mu}$$

Under residual  $\xi^i$ 

$$\partial h_{00} = 0, \partial h_{0i} = \partial_0 \xi_i, \partial h_{ij} = \partial_i \xi_j + \partial_j \xi_i$$

### Action invariant under ξ<sup>i</sup> $(h_{00})^2$

OK

Beginning at quadratic order, since we are assuming flat space is good background.

Action invariant under ξ<sup>i</sup> Beginning at quadratic order,  $\begin{cases} \left(h_{00}\right)^2 & \mathbf{OK} \\ \left(b_{0i}\right)^2 & \end{cases}$ since we are assuming flat space is good background.  $\begin{bmatrix} \mathbf{X}^{0}, \mathbf{X}^{ij} \\ \mathbf{K}^{2}, \mathbf{K}^{ij} \mathbf{K}_{ii} \end{bmatrix} = \frac{1}{2} \left( \partial_{0} h_{ij} - \partial_{j} h_{0i} - \partial_{i} h_{0j} \right)$  $\square \qquad \qquad L_{eff} = L_{EH} + M^4 \left\{ \left( h_{00} \right)^2 - \frac{\alpha_1}{M^2} K^2 - \frac{\alpha_2}{M^2} K^{ij} K_{ij} + \cdots \right\}$ Action for  $\pi$  $\boldsymbol{\xi^{0}} = \boldsymbol{\pi} \left\{ \begin{array}{l} h_{00} \to h_{00} - 2\partial_{0} \boldsymbol{\pi} \\ K_{ii} \to K_{ii} + \partial_{i} \partial_{j} \boldsymbol{\pi} \end{array} \right.$  $\square \sum L_{eff} = L_{EH} + M^4 \left\{ \left( h_{00} - 2\dot{\pi} \right)^2 - \frac{\alpha_1}{M^2} \left( K + \vec{\nabla}^2 \pi \right)^2 - \frac{\alpha_2}{M^2} \left( K^{ij} + \vec{\nabla}^i \vec{\nabla}^j \pi \right) \left( K_{ij} + \vec{\nabla}_i \vec{\nabla}_j \pi \right) + \cdots \right\}$ 



**Robust prediction** 

e.g. Ghost inflation [Arkani-hamed, Creminelli, Mukohyama, Zaldarriaga 2004]

	Higgs mechanism	Ghost condensate Arkani-Hamed, Cheng, Luty and Mukohyama 2004
Order parameter	$\langle \Phi \rangle \uparrow V( \Phi )$	$\left\langle \partial_{\mu} \phi \right\rangle \uparrow^{P((\partial\phi)^2)}$
	$\longrightarrow \Phi$	$\rightarrow$ $\phi$
Instability	Tachyon $-\mu^2 \Phi^2$	Ghost $-\dot{\phi}^2$
Condensate	V'=0, V''>0	P'=0, P''>0
Broken symmetry	Gauge symmetry	Time translational symmetry
Force to be modified	Gauge force	Gravity
New force law	Yukawa type	Newton+Oscillation

EFT of ghost condensation = EFT of scalar-tensor gravity with timelike scalar profile on Minkowski background

Arkani-Hamed, Cheng, Luty and Mukohyama 2004

Backgrounds characterized by

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$$\begin{array}{|c|c|c|c|c|} \hline & & \\ \hline & & \\ \hline & & \\ \hline & & \\ & &$$

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## EFT ON COSMOLOGICAL BACKGROUND

# Extension to FLRW background = EFT of inflation/dark energy

Creminelli, Luty, Nicolis, Senatore 2006 Cheung, Creminelli, Fitzpatrick, Kaplan, Senatore 2007

- Action invariant under  $x^i \rightarrow x^i(t,x)$
- Ingredients  $g_{\mu\nu}, g^{\mu\nu}, R_{\mu\nu\rho\sigma}, \nabla_{\mu},$

t & its derivatives

• 1<sup>st</sup> derivative of t

$$\partial_{\mu}t = \delta^{0}_{\mu} \qquad n_{\mu} = \frac{\partial_{\mu}t}{\sqrt{-g^{\mu\nu}\partial_{\mu}t\partial_{\nu}t}} = \frac{\delta^{0}_{\mu}}{\sqrt{-g^{00}}}$$
$$g^{00} \qquad h_{\mu\nu} = g_{\mu\nu} + n_{\mu}n_{\nu}$$

• 2<sup>nd</sup> derivative of t

$$K_{\mu\nu} \equiv h^{\rho}_{\mu} \nabla_{\rho} n_{\nu}$$

## Unitary gauge action

$$I = \int d^4x \sqrt{-g} L(t, \delta^0_\mu, K_{\mu\nu}, g_{\mu\nu}, g^{\mu\nu}, \nabla_\mu, R_{\mu\nu\rho\sigma})$$

 $I = M_{Pl}^{2} \int dx^{4} \sqrt{-g} \left[ \frac{1}{2} R + c_{1}(t) + c_{2}(t) g^{00} \right]$  $+ L^{(2)}(\tilde{\delta}g^{00}, \tilde{\delta}K_{\mu\nu}, \tilde{\delta}R_{\mu\nu\rho\sigma}; t, g_{\mu\nu}, g^{\mu\nu}, \nabla_{\mu}) \right]$ 

 $L^{(2)} = \lambda_1(t)(\tilde{\delta}g^{00})^2 + \lambda_2(t)(\tilde{\delta}g^{00})^3 + \lambda_3(t)\tilde{\delta}g^{00}\tilde{\delta}K^{\mu}_{\mu}$  $+ \lambda_4(t)(\tilde{\delta}K^{\mu}_{\mu})^2 + \lambda_5(t)\tilde{\delta}K^{\mu}_{\nu}\tilde{\delta}K^{\nu}_{\mu} + \cdots$ 

## NG boson

• Undo unitary gauge  $t \to \tilde{t} = t - \pi(\tilde{t}, \vec{x})$  $H(t) \to H(t+\pi), \quad \dot{H}(t) \to \dot{H}(t+\pi),$ 

 $\lambda_i(t) \rightarrow \lambda_i(t+\pi), \quad a(t) \rightarrow a(t+\pi),$ 

 $\delta^0_\mu \quad \to \quad (1+\dot{\pi})\delta^0_\mu + \delta^i_\mu \partial_i \pi,$ 

NG boson in decoupling (subhorizon) limit

$$I_{\pi} = M_{Pl}^{2} \int dt d^{3} \vec{x} \, a^{3} \left\{ -\frac{\dot{H}}{c_{s}^{2}} \left( \dot{\pi}^{2} - c_{s}^{2} \frac{(\partial_{i} \pi)^{2}}{a^{2}} \right) -\dot{H} \left( \frac{1}{c_{s}^{2}} - 1 \right) \left( \frac{c_{3}}{c_{s}^{2}} \dot{\pi}^{3} - \dot{\pi} \frac{(\partial_{i} \pi)^{2}}{a^{2}} \right) + O(\pi^{4}, \tilde{\epsilon}^{2}) + L_{\tilde{\delta}K, \tilde{\delta}R}^{(2)} \right\}$$
$$\frac{1}{c_{s}^{2}} = 1 - \frac{4\lambda_{1}}{\dot{H}}, \quad c_{3} = c_{s}^{2} - \frac{8c_{s}^{2}\lambda_{2}}{-\dot{H}} \left( \frac{1}{c_{s}^{2}} - 1 \right)^{-1}$$

Sound speed

 $c_s$ : speed of propagation for modes with  $\omega \gg H$  $\omega^2 \simeq c_s^2 \frac{k^2}{a^2}$  for  $\pi \sim A(t) \exp(-i\int \omega dt + i\vec{k}\cdot\vec{x})$ 

**Application: non-Gaussinity of** inflationary perturbation  $\zeta = -H\pi$  $-\dot{H}\left(\frac{1}{c_s^2}-1\right)\left(\frac{c_3}{c_s^2}\dot{\pi}^3-\dot{\pi}\frac{(\partial_i\pi)^2}{a^2}\right)+O(\pi^4,\tilde{\epsilon}^2)+L^{(2)}_{\tilde{\delta}K,\tilde{\delta}R}\right\} \longrightarrow \text{non-Gaussianity}$  $\langle \zeta_{\vec{k}_1}(t) \, \zeta_{\vec{k}_2}(t) \, \zeta_{\vec{k}_3}(t) \rangle = (2\pi)^3 \delta^3(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) B_{\zeta}$ 2 types of 3-point interactions  $c_s^2 \rightarrow \text{size of non-}\overline{\text{Gaussianity}}$   $k^6 B_{\zeta}|_{k_1=k_2=k_3=k} = \frac{18}{5} \Delta^2 (f_{NL}^{\dot{\pi}(\partial_i \pi)^2} + f_{NL}^{\dot{\pi}^3})$  $f_{NL}^{\dot{\pi}(\partial_i \pi)^2} = \frac{85}{324} \left( 1 - \frac{1}{c_s^2} \right) \qquad f_{NL}^{\dot{\pi}^3} = \frac{5c_3}{81} \left( 1 - \frac{1}{c_s^2} \right) \qquad \propto \frac{1}{c^2} \quad \text{for small } c_s^2$  $c_3 \rightarrow$  shape of non-Gaussianity plots of  $B_{\zeta}(k, \kappa_2 k, \kappa_3 k)/B_{\zeta}(k, k, k)$  $c_3 = -4.3$  $c_{3} = 0$ κ<sub>2</sub>  $c_3 = -3.6$  1  $\kappa_2$  $\mathcal{K}_2$ 0.5 0.50.5 1.0 Linear combination **Prototype of the** Prototype of the orthogonal shape equilateral shape of the two shapes

## Summary so far

- Ghost condensation universally describes all scalar-tensor theories of gravity with timelike scalar profile on Minkowski background.
- Extension of ghost condensation to FLRW backgrounds results in the EFT of inflation/DE.
- These EFTs provide universal descriptions of all scalar-tensor theories of gravity with timelike scalar profile on each background, including Horndeski theory, DHOST theory, U-DHOST theory, and more.

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## BLACK HOLE WITH TIMELIKE SCALAR PROFILE

- Cosmology and black holes (BHs) play as important roles in gravitational physics as blackbody radiation and hydrogen atoms did in quantum mechanics.
- In cosmology a time-dependent scalar field can act as dark energy (DE), while BHs serve as probes of strong gravity. We then hope to probe the scalar field DE by astrophysical BHs.
- This would require the scalar field profile to be timelike near BH. Otherwise, contours of the scalar field would become ill-defined.
- Are there BH solution with timelike scalar profile?

#### Stealth solutions in k-essence Mukohyama 2005

- Action in Einstein frame
- $I = \int d^4x \sqrt{-g} \left[ \frac{M_{\rm Pl}^2}{2} R + P(X) \right] \qquad X = -g^{\mu\nu} \partial_\mu \phi \partial_\nu \phi$ • EOMS  $\frac{1}{\sqrt{-g}} \partial_\mu \left( \sqrt{-g} P'(X) g^{\mu\nu} \partial_\nu \phi \right) = 0$ 
  - $M_{\rm Pl}^2 G_{\mu\nu} = 2P'(X)\partial_\mu\phi\partial_\nu\phi + P(X)g_{\mu\nu}$
- Stealth sol with  $X = X_0$ , where  $P'(X_0)=0$

$$G_{\mu\nu} = \Lambda_{\text{eff}} g_{\mu\nu} \qquad \Lambda_{\text{eff}} = P(X_0)/M_{\text{Pl}}^2$$

- $X = X_0 (\neq 0)$ •  $u^{\mu} = g^{\mu\nu} \partial_{\nu} \phi$  defines geodesic congruence  $(u^{\nu} \nabla_{\nu} u^{\mu} = -\nabla^{\mu} X/2 = 0)$ 
  - $\Leftrightarrow \phi/\sqrt{|X_0|}$  defines Gaussian normal coord.

## Stealth solutions in k-essence

Mukohyama 2005

T COnst

- Any metric locally admits Gaussian normal coord.
- If P'(X) has a real root  $X_0$  then any vacuum GR sol with  $\Lambda_{\text{eff}} = P(X_0)/M_{\text{Pl}}^2$  locally leads to a stealth sol.
- Schwarzshild metric admits a "globally" well-behaved Gaussian normal coord. (Lemeitre reference frame)  $g_{\mu\nu}dx^{\mu}dx^{\nu} = -d\tau^{2} + \frac{r_{g}dR^{2}}{r(\tau,R)} + r^{2}(\tau,R)d\Omega^{2}$  $r(\tau,R) = \left[\frac{3}{2}\sqrt{r_{g}(R-\tau)}\right]^{2/3}$
- Stealth Schwarzschild solution with  $\phi = \sqrt{X_0}\tau$ , if P'(X) has a positive root X<sub>0</sub> and if  $\Lambda_{\text{eff}}$  is canceled by  $\Lambda_{\text{bare}}$

## Stealth solutions with $\phi = qt + \psi(r)$

- Schwarzschild in k-essence (Mukohyama 2005)
- Schwarzschild-(A)dS in Horndeski theory (Babichev & Charmousis 2013, Kobayashi & Tanahashi 2014) Schwarzshild-(A)dS in DHOST (Ben Achour & Liu 2019, Motohashi & Minamitsuji 2019)
- Kerr-(A)dS in DHOST (Charmousis & Crisotomi & Gregory & Stergioulas 2019)
- However, perturbations around most of those stealth solutions are infinitely strongly coupled (de Rham & Zhang 2019). This means the solutions cannot be trusted.
- Approximately stealth solution in ghost condensate does not suffer from strong coupling (Mukohyama 2005). Why?

## **Origin of strong coupling**

- EFT around stealth Minkowski sol. (= ghost condensate)  $\rightarrow$  universal dispersion relation without the usual k<sup>2</sup> term  $\omega^2 = \alpha k^4 / M^2$
- For α = O(1) (>0), EFT is weakly coupled all the way up to ~M.
- If eom's for perturbations are strictly 2<sup>nd</sup> order (as in DHOST) then α = 0 and the dispersion relation loses dependence on k
   → strong coupling
- [For  $\omega^2 = c_s^2 k^2$ , strong coupling @  $E \sim c_s^{7/4} M$ ]

Strong coupling scales EFT of inflation/DE in decoupling limit  $S_{\pi} = M_{\rm Pl}^2 \int dt d^3 \vec{x} \, a^3 \left[ -\frac{\dot{H}}{c_{\rm s}^2} \left( \dot{\pi}^2 - c_{\rm s}^2 \frac{(\partial_i \pi)^2}{a^2} \right) \right]$  $\left(-\dot{H}\left(rac{1}{c_{s}^{2}}-1
ight)\left(rac{c_{3}}{c_{s}^{2}}\dot{\pi}^{3}-\dot{\pi}rac{(\partial_{i}\pi)^{2}}{a^{2}}
ight)+\mathcal{O}(\pi^{4}, ilde{\epsilon}^{2})+\mathcal{L}^{(2)}_{ ilde{\delta}K, ilde{\delta}R}
ight)$  $\left| \frac{1}{c_{\rm s}^2} = 1 + \frac{4\lambda_1}{-\dot{H}}, \quad c_3 = c_{\rm s}^2 - \frac{8c_{\rm s}^2\lambda_2}{-\dot{H}} \left( \frac{1}{c_{\rm s}^2} - 1 \right)^{-1}$ • If  $c_s^2 \simeq \text{const is not too small, } \mathcal{L}^{(2)}_{\tilde{\delta}K,\tilde{\delta}R}$  can be ignored. We further assume  $0 < c_s < 1$ .  $S_{\pi} = \int dt d^{3} \vec{\tilde{x}} a^{3} (c_{\rm s} \epsilon M_{\rm Pl}^{2} H^{2}) \left| \dot{\pi}^{2} - \frac{(\tilde{\partial}_{i} \pi)^{2}}{a^{2}} + \left(\frac{1}{c_{\rm s}^{2}} - 1\right) \dot{\pi} \left( c_{3} \dot{\pi}^{2} - \frac{(\tilde{\partial}_{i} \pi)^{2}}{a^{2}} \right) + \cdots \right|$  $\vec{x} = c_{\rm s} \vec{\tilde{x}}$  $\dot{\pi}^2 \sim \frac{(\partial_i \pi)^2}{a^2} \sim \frac{E^4}{c_{\rm s} \epsilon M_{\rm Pl}^2 H^2} \qquad \left(\frac{1}{c_{\rm s}^2} - 1\right) |\dot{\pi}| \Big|_{E=E_{\rm cubic}} \sim \frac{1}{\max[|c_3|, 1]}$  $E_{\text{cubic}} \lesssim \frac{(c_{\text{s}}^{5} \epsilon M_{\text{Pl}}^{2} H^{2})^{1/4}}{\sqrt{1-c^{2}}} \to 0 \quad (c_{\text{s}}^{5} \epsilon/(1-c_{\text{s}}^{2})^{2} \to 0)$ 

## A solution: scordatura

Motohashi & Mukohyama 2019

- Detuning of degeneracy condition recovers  $\omega^2 = \alpha k^4 / M^2$  and uplifts the strong coupling scale to  $\sim |\alpha|^{7/2} M$ . If the amount of detuning is small enough then an apparent ghost is heavy enough to be integrated out.
- Scordatura = weak and controlled detuning of degeneracy condition
- Scordatura DHOST realizes ghost condensation near stealth solutions while it behaves as DHOST away from them.



## Strong coupling scales De Sitter limit = small $c_s^2$ limit ullet $+\lambda_3 \left(H - \frac{\partial_j^2 \pi}{a^2}\right) \frac{(\partial_i \pi)^2}{a^2} + (\lambda_4 + \lambda_5) \frac{(\partial_i^2 \pi)^2}{a^4} + \cdots$ $\lambda_1 = \frac{M^4}{8M_{\rm Pl}^2}, \quad \lambda_3 = \frac{M^3\beta}{2M_{\rm Pl}^2}, \quad \lambda_4 = -\frac{M^2(\alpha + \gamma)}{2M_{\rm Pl}^2}, \quad \lambda_5 = \frac{M^2\gamma}{2M_{\rm Pl}^2}$ $S_{\pi} = \frac{M^4}{2} \int dt d^3 \vec{x} \, a^3 \left| \dot{\pi}^2 - c_{\rm s}^2 \frac{(\partial_i \pi)^2}{a^2} - \dot{\pi} \frac{(\partial_i \pi)^2}{a^2} - \frac{\alpha}{M^2} \frac{(\partial_i^2 \pi)^2}{a^4} + \frac{\beta}{M} \left( H - \frac{\partial_j^2 \pi}{a^2} \right) \frac{(\partial_i \pi)^2}{a^2} + \cdots \right|$ $\begin{array}{c|c} E^{-1}p^{-3}M^4(E\pi)^2 \sim 1 & \longrightarrow & \pi \sim \frac{E^{3/2}}{p^{1/2}M^2} \\ \hline \end{array}$ $rac{\omega^2}{M^2} = lpha rac{k^4}{M^4 a^4}$ for $\max \left| c_{\rm s}^2, |\beta| \frac{H}{M} \right| \ll |\alpha| \frac{k^2}{M^2 a^2} \ll 1$ $\rightarrow E_{\text{cubic}} \simeq |\alpha|^{7/2} M$
# Approximately stealth BH in ghost condensate Mukohyama 2005

- Two time scales:  $t_{BH} \ll t_{GC} \sim M_{PI}^2/M^3$
- For t<sub>BH</sub> << t << t<sub>GC</sub>, a usual BH sol is a good approximation → approximately stealth



of higher derivative terms

# Approximately stealth BH in ghost condensate

Mukohyama 2005; Cheng, Luty, Mukohyama and Thaler 2006

- A tiny tadpole due to higher derivative terms is canceled by extremely slow time-dependence.
- As a result,  $\pi = \delta \phi$  starts accreting gradually.
- XTE J1118+480 (M<sub>bh</sub>~7M<sub>sun</sub>,r~3R<sub>sun</sub>,t~240Myr or 7 Gyr) M<10<sup>12</sup>GeV much weaker than M<100GeV</li>

$$M_{bh} = M_{bh0} \times \left[ 1 + \frac{9\alpha M^2}{4M_{Pl}^2} \left( \frac{3M_{Pl}^2 v}{4M_{bh0}} \right)^{2/3} \right]$$
  
v : advanced null coordinate  
 $\alpha$  : coefficient of h.d. term

# Summary of stealth BH with timelike scalar profile

- If we want to learn something about scalar field DE from BH then we need to consider BH solutions with timelike scalar profile
- Stealth solutions = backgrounds with GR metric and non-trivial scalar profile → examples of BH solutions with timelike scalar profile
- Many of them suffer from strong coupling problem, which is solved by scordatura (= controlled detuning of degeneracy condition)
- DHOST/Horndeski do not include scordatura but U-DHOST does (DeFelice, Mukohyama, Takahashi 2022).
- EFT of ghost condensation already includes scordatura. Arkani-Hamed, Cheng, Luty and Mukohyama 2004
- Approximately stealth solution in scordatura is stealth at astrophysical scales and is free from the strong coupling problem
- Scordatura also helps recover the generalized second law

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### EFT ON ARBITRARY BACKGROUND

arXiv: 2204.00228 w/ V.Yingcharoenrat

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- Are there BH solution with timelike scalar profile?
- Can we develop EFT of scalar-tensor gravity on such BH background?

## It is not straightforward...

• General action in the unitary gauge ( $\phi = \tau$ )

$$S = \int d^4x \sqrt{-g} \ F(R_{\mu\nu\alpha\beta}, g^{\tau\tau}, K_{\mu\nu}, \nabla_{\nu}, \tau)$$

- Taylor expansion around the background  $S = \int d^4x \sqrt{-g} \left[ \bar{F} + \bar{F}_{g^{\tau\tau}} \delta g^{\tau\tau} + \bar{F}_K \delta K + \cdots \right]$
- The whole action is invariant under 3d diffeo but each term is not...
- Each coefficient is a function of (τ, x<sup>i</sup>) but cannot be promoted to an arbitrary function.

## **Solution: consistency relations**

• The chain rule



relates x<sup>i</sup>-derivatives of an EFT coefficient to other EFT coefficients, and leads to consistency relations.

- The consistency relations ensure the spatial diffeo invariance.
- Taylor coefficients should satisfy the consistency relations but are otherwise arbitrary.
- (No consistency relation for τ-derivatives.)

### **EFT** action

$$\begin{split} S &= \int d^4 x \sqrt{-g} \bigg[ \frac{M_{\star}^2}{2} f(y) R - \Lambda(y) - c(y) g^{\tau\tau} - \beta(y) K - \alpha_{\nu}^{\mu}(y) \sigma_{\mu}^{\nu} - \gamma_{\nu}^{\mu}(y) r_{\mu}^{\nu} + \frac{1}{2} m_2^4(y) (\delta g^{\tau\tau})^2 \\ &\quad + \frac{1}{2} M_1^3(y) \delta g^{\tau\tau} \delta K + \frac{1}{2} M_2^2(y) \delta K^2 + \frac{1}{2} M_3^2(y) \delta K_{\nu}^{\mu} \delta K_{\nu}^{\nu} + \frac{1}{2} M_4(y) \delta K \delta^{(3)} R \\ &\quad + \frac{1}{2} M_5(y) \delta K_{\nu}^{\mu} \delta^{(3)} R_{\mu}^{\nu} + \frac{1}{2} \mu_1^2(y) \delta g^{\tau\tau} \delta^{(3)} R + \frac{1}{2} \mu_2(y) \delta^{(3)} R^2 + \frac{1}{2} \mu_3(y) \delta^{(3)} R_{\nu}^{\mu} \delta^{(3)} R_{\mu}^{\nu} \\ &\quad + \frac{1}{2} \lambda_1(y)_{\mu}^{\nu} \delta g^{\tau\tau} \delta K_{\nu}^{\mu} + \frac{1}{2} \lambda_2(y)_{\mu}^{\nu} \delta g^{\tau\tau} \delta^{(3)} R_{\nu}^{\mu} + \frac{1}{2} \lambda_3(y)_{\mu}^{\nu} \delta K \delta K_{\nu}^{\mu} + \frac{1}{2} \lambda_4(y)_{\mu}^{\nu} \delta K \delta^{(3)} R_{\nu}^{\mu} \\ &\quad + \frac{1}{2} \lambda_5(y)_{\mu}^{\nu} \delta^{(3)} R \delta K_{\nu}^{\mu} + \frac{1}{2} \lambda_6(y)_{\mu}^{\nu} \delta^{(3)} R \delta^{(3)} R_{\nu}^{\mu} + \dots \bigg] \;, \end{split}$$

- EFT coefficients should satisfy the consistency relations but are otherwise arbitrary
- One can restore 4d diffeo by Stueckelberg trick
- Easy to find dictionary between EFT coefficients and theory parameters
- Can be applied to BH with timelike scalar profile
- Bridge between theories and observations

# Applications to BHs with timelike scalar profile

- Background analysis for spherical BH
  [arXiv: 2204.00228 w/ V.Yingcharoenrat]
- Odd-parity perturbation around spherical BH

   Generalized Regge-Wheeler equation
   [arXiv: 2208.02943 w/ K.Takahashi & V.Yingcharoenrat]
   [see also arXiv: 2208.02823 by Khoury, Noumi, Trodden, Wong]
   Quasi-normal mode
   [work in progress w/ K.Takahashi & K.Tomikawa & V.Yingcharoenrat]
- Even-parity perturbation around spherical BH [work in progress w/ K.Takahashi & V.Yingcharoenrat]
- Rotating BH
- Dynamical BH

#### SUMMARY

EFT of scalar-tensor gravity with timelike scalar profile

- 1. Introduction
- 2. EFT on Minkowski bkgd
- 3. EFT on cosmological bkgd
- 4. BH with timelike scalar profile
- 5. EFT on arbitrary bkgd
- 6. Summary

- There are at least three motivations to go beyond GR:
  (i) mysteries in the universe; (ii) quantum gravity;
  (iii) understanding GR itself.
- Ghost condensation is a universal description of scalartensor gravity with timelike scalar profile on Minkowski background.
- Extension of ghost condensation to FLRW backgrounds results in a universal description of fluctuations of inflaton/dark energy (DE), called EFT of inflation/DE.
- If we want to learn something about scalar field DE from a black hole (BH) then we need to consider BH solutions with timelike scalar profile.

#### EFT of scalar-tensor gravity with timelike scalar profile

- Time diffeo is broken by the scalar profile but spatial diffeo is preserved.
- All terms that respect spatial diffeo must be included in the EFT action.
- Derivative & perturbative expansions
- Diffeo can be restored by introducing NG boson

EFT of scalar-tensor gravity on Minkowski background

EFT of scalar-tensor gravity on cosmological background

EFT of scalar-tensor gravity on arbitrary background

Taylor expansion of the general action

#### = ghost condensation

Arkani-Hamed, Cheng, Luty and Mukohyama, JHEP 0405:074,2004

 $S = \int d^4x \sqrt{-g} F(R_{\mu\nu\alpha\beta}, g^{\tau\tau}, K_{\mu\nu}, \nabla_{\nu}, \tau)$ 

= EFT of inflation/dark energy Creminelli, Luty, Nicolis, Senatore 2006

Cheung, Creminelli, Fitzpatrick, Kaplan, Senatore 2007

#### = EFT of BH perturbations

arXiv: 2204.00228 w/ Vicharit Yingcharoenrat

$$S = \int d^4x \sqrt{-g} \left[ \bar{F} + \bar{F}_{g^{\tau\tau}} \delta g^{\tau\tau} + \bar{F}_K \delta K + \dots \right]$$

<u>Consistency relations</u> — S is invariant under spatial diffeo but the background breaks it.

$$\frac{d}{dx^{i}}\bar{F} = \bar{F}_{g^{\tau\tau}}\frac{\partial\bar{g}^{\tau\tau}}{\partial x^{i}} + \bar{F}_{K}\frac{\partial\bar{K}}{\partial x^{i}} + \dots$$

- There are at least three motivations to go beyond GR:
  (i) mysteries in the universe; (ii) quantum gravity;
  (iii) understanding GR itself.
- Ghost condensation is a universal description of scalartensor gravity with timelike scalar profile on Minkowski background.
- Extension of ghost condensation to FLRW backgrounds results in a universal description of fluctuations of inflaton/dark energy (DE), called EFT of inflation/DE.
- If we want to learn something about scalar field DE from a black hole (BH) then we need to consider BH solutions with timelike scalar profile.
- EFT of scalar-tensor gravity with timelike scalar profile on arbitrary background was developed. Consistency relations among EFT coefficients ensure the spatial diffeo invariance. Applicable to BHs with scalar field DE.
- Other applications?

#### **Further extension of the web of EFTs**

#### "The Effective Field Theory of Vector-Tensor Theories"

Katsuki Aoki, Mohammad Ali Gorji, Shinji Mukohyama, Kazufumi Takahashi, , JCAP 01 (2022) 01, 059 [arXiv: 2111.08119].

#### Residual symmetry in the unitary gauge

 $\vec{x} \to \vec{x}'(t, \vec{x})$  $t \to t - g_M \chi(x), \quad A_\mu \to A_\mu + \partial_\mu \chi(x)$ 

leaving  ${ ilde{\delta}^0}_\mu = {\delta^0}_\mu + g_M A_\mu$  invariant

The web of EFTs

c.f. Residual symmetry in unitary gauge for scalar-tensor theories

$$\vec{x} \to \vec{x}'(t, \vec{x})$$



# Thank you!



K.Aoki

M.A.Gorji

K.Takahashi

V.Yingcharoenrat

Ref. arXiv: 2204.00228 w/ V.Yingcharoenrat arXiv: 2111.08119 w/ K.Aoki, M.A.Gorji & K.Takahashi

EFT of scalar-tensor gravity with timelike scalar profile

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# Appendix A GENERALIZED 2<sup>ND</sup> LAW

Mukohyama 2009, 2010

	Higgs mechanism	Ghost condensate Arkani-Hamed, Cheng, Luty and Mukohyama 2004
Order parameter	$\langle \Phi \rangle \uparrow V( \Phi )$	$\left\langle \partial_{\mu} \phi \right\rangle \uparrow^{P((\partial\phi)^2)}$
	$\longrightarrow \Phi$	$\rightarrow$ $\phi$
Instability	Tachyon $-\mu^2 \Phi^2$	Ghost $-\dot{\phi}^2$
Condensate	V'=0, V''>0	P'=0, P''>0
Broken symmetry	Gauge symmetry	Time translational symmetry
Force to be modified	Gauge force	Gravity
New force law	Yukawa type	Newton+Oscillation



So far, there is no conflict with experiments and observations if M < 100GeV.

EFT of ghost condensation = EFT of scalar-tensor gravity with timelike scalar profile on Minkowski background

Arkani-Hamed, Cheng, Luty and Mukohyama 2004

Backgrounds characterized by

 $\Rightarrow \left\langle \partial_{\mu} \phi \right\rangle \neq 0 \text{ and timelike}$ 

♦Background metric is Minkowski.

$$\sum L_{eff} = L_{EH} + M^4 \left\{ \left( h_{00} - 2\dot{\pi} \right)^2 - \frac{\alpha_1}{M^2} \left( K + \vec{\nabla}^2 \pi \right)^2 - \frac{\alpha_2}{M^2} \left( K^{ij} + \vec{\nabla}^i \vec{\nabla}^j \pi \right) \left( K_{ij} + \vec{\nabla}_i \vec{\nabla}_j \pi \right) + \cdots \right\}$$

# Holography and GSL

- Do holographic dual descriptions always exist?
   PROBABLY NO. e.g.) A de Sitter space is only metastable and a unitary holographic dual is not known.
- How about ghost condensate?
- Let's look for violation of GSL in ghost condensate, since violation of GSL would indicate absence of holographic dual. (GSL is expected to be dual to ordinary 2<sup>nd</sup> law.)
- Three proposals: (i) semi-classical heat flow; (ii) analogue of Penrose process; (iii) negative energy.

#### Different limits of speed

$$g_{A,B\mu\nu} = -u_{\mu}u_{\nu} + c_{A,B}^{-2}(g_{\mu\nu} + u_{\mu}u_{\nu}) \qquad u_{\mu} = \frac{\partial_{\mu}\phi}{\sqrt{-(\partial\phi)^{2}}}$$

- $\langle \partial_{\mu} \phi \rangle \Box M^2 \neq 0$   $\longrightarrow$  preferred direction  $u_{\mu}$ .
- Different particles A and B may follow geodesics of different metrics  $g_{A\mu\nu}$  and  $g_{B\mu\nu}$ .
- Lorentz breaking effects such as  $|c_{A,B}^2-1|$ vanish in the limit M<sup>2</sup> $\rightarrow$ 0 (M<sup>2</sup>: order parameter)  $c_{A,B}^2=1+O(M^2/M_{Pl}^2)$ .

#### Semi-classical heat flow Dubovsky and Sibiryakov 2006



### Semi-classical heat flow

Dubovsky and Sibiryakov 2006; Mukohyama 2009



$$\label{eq:shell} \begin{split} dS_{shell}/dt &= \\ (1/T_{shellB}\text{-}1/T_{shellA}) \\ &*|F_{shell \rightarrow bh}| < 0 \\ dS_{bh}/dt &= 0 \;??? \end{split}$$

- T<sub>bhB</sub>- GSL\_not(violated!
- $|F_{\text{shell} \rightarrow \text{bh}}| / T_{\text{bh}}^2 = O(M^2/M_{\text{Pl}}^2)$
- $|dS_{shell}/dt| / T_{bh} = O(M^4/M_{Pl}^4)$
- $dS_{bh}/dt$  due to accretion is much larger.
- $S_{tot} = S_{shell} + S_{bh}$  does increase!





#### Negative energy Arkani-Hamed, talk at PI 2006



It appears that  $S_{bh}$  can be decreased by sending excitation with P'<0.

#### Averaged NEC

Mukohyama 2010

Action

$$I = \int dx^4 \sqrt{-g} P(X) \qquad X = -\partial^{\mu} \phi \partial_{\mu} \phi$$

Stress-energy tensor

Stress-energy tensor  

$$T_{\mu\nu} = (\rho + P)u_{\mu}u_{\nu} + Pg_{\mu\nu}$$
  $\rho = 2P'X - P$   $u_{\mu} = \frac{\partial_{\mu}\phi}{\sqrt{X}}$ 

& shift charge EO.  $\mathbf{M}$ 

$$\nabla^{\mu} J_{\mu} = 0 \qquad J_{\mu} = -2P' \partial_{\mu} \phi \qquad Q = \int d\Sigma J_{\mu} u^{\mu}$$
  
In the regime of validity of EFT ( $|\chi| <<1$ )

$$P = M^{4} \left[ p_{0} + \frac{1}{2} p_{2} \chi^{2} + O(\chi^{3}) \right] \qquad \chi = \frac{\chi}{M^{4}} - 1$$
  
$$\rho + P - M^{4} J_{\mu} u^{\mu} = M^{4} \left[ p_{2} \chi^{2} + O(\chi^{3}) \right]$$

Averaged NEC

$$\int d\Sigma(\rho+P) \ge M^2 Q \quad \Longrightarrow \quad \int d\Sigma(\rho+P) \ge 0 \text{ for } Q \ge 0$$

#### Negative energy Arkani-Hamed, talk at PI 2006; Mukohyama 2010



- GSL in a coarse-grained sense can be protected by the averaged NEC if the shift charge is non-negative. (Negative energy is followed by larger positive energy.)
- Negative charge states are plugged by instabilities in the early universe if the shift symmetry is exact. (|P'| would be large in the early universe.)

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#### Appendix B DE SITTER ENTROPY BOUND

Jazayeri, Mukohyama, Saitou, Watanabe 2016

# Holography and GSL

- Do holographic dual descriptions always exist?
   PROBABLY NO. e.g.) A de Sitter space is only metastable and a unitary holographic dual is not known.
- How about ghost condensate?
- Let's look for violation of GSL in ghost condensate, since violation of GSL would indicate absence of holographic dual. (GSL is expected to be dual to ordinary 2<sup>nd</sup> law.)
- Three proposals: (i) semi-classical heat flow; (ii) analogue of Penrose process; (iii) negative energy.
- The generalized 2<sup>nd</sup> law can hold in the presence of ghost condensate. (Mukohyama 2009, 2010)

### Ghost inflation and de Sitter entropy bound

Jazayeri, Mukohyama, Saitou, Watanabe 2016

- Black holes & cosmology in gravity theories are as important as Hydrogen atoms & blackbody radiation in quantum mechanics
- Provide non-trivial tests for theories of gravity e.g. black-hole entropy in string theory
- Does the theory of ghost condensation pass those tests?
- Ghost condensation can be consistent with BH
   thermodynamics (Mukohyama 2009, 2010)
- How about de Sitter thermodynamics?

### de Sitter thermodynamics

- de Sitter (dS) spacetime is one of the three spacetimes with maximal symmetry
- dS horizon has temperature  $T_H = H/(2\pi)$
- In quantum gravity, a dS space is probably unstable (e.g. KKLT, Susskind, ...). So, let's consider a dS space as a part of inflation
- Friedmann equation  $\rightarrow$ 1<sup>st</sup> law with entropy S = A/(4G<sub>N</sub>) =  $\pi/(G_NH^2)$ (This is in contrast with analogue gravity systems.)

## de Sitter entropy bound

Arkani-Hamed, et.al. 2007

 Slow roll inflation (non-eternal)  $\dot{H} = -4\pi G_{\rm N} \dot{\phi}^2$  $S = \pi/(G_{\rm N}H^2)$  dN = Hdt $\frac{\delta\rho}{\rho} \sim \frac{\delta a}{a} \sim H\delta t \sim H \frac{\delta\phi}{\dot{\phi}} \sim \frac{H^2}{\dot{\phi}}$  $\frac{dS}{dN} = \frac{8\pi^2 \dot{\phi}^2}{H^4} \sim \left(\frac{\delta\rho}{\rho}\right)^{-2}$  $|\delta
ho/
ho|\lesssim 1~$  for non-eternal inflation  $N_{\rm tot} \lesssim S_{\rm end} - S_{\rm beginning} < S_{\rm end}$ 

## de Sitter entropy bound

Arkani-Hamed, et.al. 2007

• Eternal inflation  $\delta \rho / \rho \gtrsim 1 \implies \Delta N \gtrsim \Delta S$ 

 $N_{\rm obs} \lesssim S_{\rm end}$ 

- Fluctuation generated during eternal epoch would collapse to form BH → unobservable!
- This bound holds for a large class of models
   of inflation
- Does ghost inflation satisfy the bound?

#### **Ghost inflation**

Arkani-Hamed, Creminelli, Mukohyama and Zaldarriaga 2004



[compare  $\frac{H}{M_{Pl}\sqrt{\varepsilon}}$ ]
#### Prediction of Large non-Gauss.

Leading non-linear interaction  $\beta \frac{\dot{\pi} (\nabla \pi)^2}{M^2}$ 

non-G of ~ 
$$\beta \left(\frac{H}{M}\right)^{1/4}$$
  
~  $\beta \left(\frac{\delta \rho}{\rho}\right)^{1/5}$ 

scaling dim of op.

$$\int dt d^3x \left[ \frac{1}{2} \dot{\pi}^2 - \frac{\alpha (\vec{\nabla}^2 \pi)^2}{M^2} + \cdots \right]$$

[Really "0.1" ×  $(\delta \rho / \rho)^{1/5}$  ~ 10<sup>-2</sup>. VISIBLE. In usual inflation, non-G ~  $(\delta \rho / \rho)$  ~ 10<sup>-5</sup> too small.]

$$f_{NL} \sim 82 \beta \alpha^{-4/5}$$
, equilateral type

Planck 2015 constraint (equilateral type)

 $f_{\rm NL} = -4 \pm 43$  (68% CL statistical)  $\rightarrow -0.6 \le \beta \alpha^{-4/5} \le 0.5$ 

# de Sitter entropy bound

Arkani-Hamed, et.al. 2007

• Eternal inflation  $\delta \rho / \rho \gtrsim 1 \implies \Delta N \gtrsim \Delta S$ 

 $> N_{\rm obs} \lesssim S_{\rm end}$ 

- Fluctuation generated during eternal epoch would collapse to form BH → unobservable!
- This bound holds for a large class of models
  of inflation
- Does ghost inflation satisfy the bound? The answer appears to be "no" since N<sub>tot</sub> can be arbitrarily large. Swampland?

### Lower bound on $\Lambda$ ?

- Jazayeri, Mukohyama, Saitou, Watanabe 2016 • Tiny  $\Lambda$  prevents earlier inflationary modes from being observed.  $a/k_{\min}$ , ln *L*  $a/k_{\rm max}$  $\frac{a_{\rm end}}{a_{\rm reh}} \sim \left(\frac{\rho_{\rm reh}}{\rho_{\rm inf}}\right)^{1/3} \qquad \frac{a_{\rm reh}}{a_{\rm eq}} \sim \left(\frac{s_{\rm eq}}{s_{\rm reh}}\right)^{1/3}$  $\ddot{a}(t=t_c)=0$  with  $6M_{\rm Pl}^2 \frac{\ddot{a}}{a} = -\rho_{\rm m}^{\rm eq} \left(\frac{a_{\rm eq}}{a}\right)^3 + 2\rho_{\Lambda}$  $a_{\rm end}$  $a_{eq} a_c$  $\ln a$ •  $N_{obs} \sim \ln(k_{max}/k_{min}) \lesssim S = \pi/(G_N H^2)$  $\Omega_{\Lambda} \gtrsim \exp \left[ -10^{42} \left( \frac{M}{100 \,\text{GeV}} \right)^{-2} \right] \qquad M \lesssim 100 \,\text{GeV}$ • In our universe,  $\Omega_{\Lambda} = O(1)$  and thus the
  - bound is well satisfied.

# **Cosmological Page time**

Jazayeri, Mukohyama, Saitou, Watanabe 2016

- Hawking rad from BH  $\rightarrow$  S<sub>rad</sub> = S<sub>ent</sub> increases but S<sub>BH</sub> ( $\geq$  S<sub>ent</sub>) decreases  $\rightarrow$  semi-classical description should break down @ Page time, when S<sub>BH</sub> ~ half of S<sub>BH,init</sub>
- After inflation, we expect to see O(1) deviation from semi-classical description @ Page time, when N<sub>obs</sub> ~ S<sub>end</sub>
- For example, if  $\Lambda$  decays at  $a=a_{decay}$  then

 $\frac{a_{\text{Page}}}{a_{\text{decay}}} \sim \left(\frac{M}{100 \,\text{GeV}}\right)^{-1} \left(\frac{a_{\text{decay}}}{a_{\text{eq}}}\right)^2 \exp\left[10^{42} \left(\frac{M}{100 \,\text{GeV}}\right)^{-2}\right]$ 

# **Summary of appendices**

- Ghost condensation is the simplest Higgs phase of gravity.
- The low-E EFT is determined by the symmetry breaking pattern. No ghost in the EFT.
- Gravity is modified in IR.
- Consistent with experiments and observations if M < 100GeV.</li>
- It appears easy but is actually difficult to violate the generalized 2<sup>nd</sup> law by ghost condensate.
- Ghost inflation predicts large non-Gaussianity that can be tested.
- de Sitter entropy bound appears to be violated but is actually satisfied by ghost inflation.